



State of Alaska
Department of Natural Resources
Division of Parks and Outdoor Recreation
Office of History and Archaeology
550 W. 7th Avenue, Suite 1310 Anchorage, AK 99501



Maritime Heritage Grants Manual

Grants Program Requirements and Conditions

State Historic Preservation Offices (SHPOs) shall administer Maritime Heritage direct grants and subgrants in accordance with all program requirements and conditions outlined in this manual, applicable Federal administrative and financial requirements, and any additional State requirements.

Compliance Requirements

Section 106 and NEPA Compliance

All Education and Preservation projects must comply with:

- Section 106 of the National Historic Preservation Act (NHPA)
- National Environmental Policy Act (NEPA)

Applicants must consult the SHPO early in the process to determine whether Section 106 review is required. SHPOs assist NPS in ensuring compliance under the Programmatic Agreement for the National Maritime Heritage Grants Program.

Projects that do not demonstrate Section 106 and NEPA compliance will not be considered for funding.

Resources:

<http://www.ncshpo.org/shpodirectory.shtml>

<http://www.achp.gov/citizensguide.html>

<http://www.achp.gov/apptoolkit.html>

Education Project Requirements

Curation of Maritime Heritage Collections

Federally owned and administered archaeological collections must be curated in accordance with **36 CFR 79: Curation of Federally-Owned and Administered Archeological Collections.**

Preservation Project Requirements

Secretary of the Interior's Standards

Preservation Projects must follow:

- Standards for Historic Vessel Preservation Projects
- Standards for the Treatment of Historic Properties
- Standards for Archeology and Historic Preservation



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Predevelopment Requirements

Predevelopment documentation must be submitted and approved before any preservation, rehabilitation, or restoration activities begin.

Required Documentation:

- **Photographs**

Must document interior and exterior conditions, significant features, deterioration, site setting, and materials issues. Images must be high-resolution, combined into a single PDF, and attached to the SF-424. Disks, photocopies, and instant photographs are not acceptable.

- **Plans, Drawings, and Specifications**

Must clearly illustrate proposed work and be combined into a single document.

SHPOs should assist applicants in producing materials that meet Secretarial standards.

Continued Upkeep and Maintenance

Covenants or preservation agreements must be issued and enforced by SHPOs to ensure long-term maintenance of historic maritime properties after project completion.

Archaeology Project Requirements

- **Legal Requirements** Archaeological investigations on Federal lands must comply with ARPA (16 U.S.C. 470aa; 43 CFR 7) and applicable State or local laws.
- **Principal Investigator** The Principal Investigator must have appropriate maritime archaeological expertise and credentials meeting the Secretary of the Interior's Professional Qualification Standards.
- **Research Design Requirements** Applicants must submit a Research Design that includes:
 - Purpose and rationale
 - Assessment of prior investigations
 - Scope of proposed investigations
 - Methods, techniques, and procedures for data collection
 - Analytical methods
 - Project timeline

SHPOs may advise applicants as needed.

Data Collection and Site Preservation

Preferred methodology is preservation in place, involving:

- Minimal or no disturbance
- Limited or no artifact recovery
- Preservation for future research

Artifact recovery is allowed only when an approved Conservation Plan is in place.

Conservation of Recovered Artifacts

If artifacts may be recovered, applicants must submit a Conservation Plan describing:

- Conservation facilities
- Conservation methods



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Plans must meet the Secretary's Standards and, where applicable, **36 CFR 79**. SHPOs may provide guidance to ensure compliance.

Acquisition

Grant funds may be used for purchase or fee title acquisition of historic maritime properties.

Reconstruction Requirements

Reconstruction must:

- Be based on accurate archaeological, documentary, or physical evidence
- Reproduce the historic property form as it appeared during a specific period
- Avoid conjectural or historically unexecuted designs
- Be full-scale and located on the original site whenever possible
- Be preceded by archaeological investigation when conducted on a historic site

Interpretation must clearly identify features altered for modern use or constructed using modern methods or materials.

Prohibited:

- Conversion of non-historic vessels into representations of historic vessels
- Conversion of one historic vessel to represent another

Acknowledgment of NPS Assistance

Recipients must acknowledge NPS support in all materials produced under the grant.

A temporary sign must be posted at Preservation Project sites while work is underway unless waived by NPS due to safety risks. Permanent signs are not required.

Additional Program Requirements-+

Conflict of Interest

This requirement applies to individuals or organizations who participate in or influence grant award decisions, who gain access to information not available to the public, or who provide oversight or administration of any aspect of the National Maritime Heritage Grants Program. No individual may participate in grant selection, award, or administration if they have a real or perceived financial, personal, or organizational interest in the outcome.